

**FORM ADV**

**Uniform Application for Investment Adviser Registration**

**Part II - Page 1**

Name of Investment Adviser: <b>New Horizon Financial Strategies</b>				
Address: (Number and Street) <b>944 Dryden Road</b>	(City) <b>Ithaca</b>	(State) <b>NY</b>	(Zip Code) <b>14850</b>	Area Code: Telephone Number: <b>( 607) 275-1275</b>

**This part of Form ADV gives information about the investment adviser and its business for the use of clients.  
The information has not been approved or verified by any governmental authority.**

**Table of Contents**

<u>Item Number</u>	<u>Item</u>	<u>Page</u>
1	Advisory Services and Fees . . . . .	2
2	Types of Clients . . . . .	2
3	Types of Investments . . . . .	3
4	Methods of Analysis, Sources of Information and Investment Strategies . . . . .	3
5	Education and Business Standards . . . . .	4
6	Education and Business Background . . . . .	4
7	Other Business Activities . . . . .	4
8	Other Financial Industry Activities or Affiliations . . . . .	4
9	Participation or Interest in Client Transactions . . . . .	5
10	Conditions for Managing Accounts . . . . .	5
11	Review of Accounts . . . . .	5
12	Investment or Brokerage Discretion . . . . .	6
13	Additional Compensation . . . . .	6
14	Balance Sheet . . . . .	6
	Continuation Sheet . . . . .	Schedule F
	Balance Sheet, if required. . . . .	Schedule G

**(Schedules A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)**

**Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>801- NA</b>	Date: <b>03/26/2010</b>
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1. **A. Advisory Services and Fees.** (check the applicable boxes) For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

**Applicant:**

- (1) Provides investment supervisory services ..... 40%
- (2) Manages investment advisory accounts not involving investment supervisory services .....   %
- (3) Furnishes investment advice through consultations not included in either service described above ..... 10%
- (4) Issues periodicals about securities by subscription .....   %
- (5) Issues special reports about securities not included in any service described above .....   %
- (6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities .....   %
- (7) On more than an occasional basis, furnishes advice to clients on matters not involving securities ..... 40%
- (8) Provides a timing service .....   %
- (9) Furnishes advice about securities in any manner not described above ..... 10%

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term? ..... Yes  No

C. Applicant offers investment advisory services for: (check all that apply)

- (1) A percentage of assets under management
- (2) Hourly charges
- (3) Fixed fees (not including subscription fees)
- (4) Subscription fees
- (5) Commissions
- (6) Other

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. **Types of clients** - Applicant generally provides investment advice to: (check those that apply)

- A. Individuals
- B. Banks or thrift institutions
- C. Investment companies
- D. Pension and profit sharing plans
- E. Trusts, estates, or charitable organizations
- F. Corporations or business entities other than those listed above
- G. Other (describe on Schedule F)

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>801- NA</b>	Date: <b>3/26/2010</b>
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**3. Types of Investments.** Applicant offers advice on the following: (check those that apply)

<p><input checked="" type="checkbox"/> A. Equity securities</p> <p><input checked="" type="checkbox"/> (1) exchange-listed securities</p> <p><input checked="" type="checkbox"/> (2) securities traded over-the-counter</p> <p><input checked="" type="checkbox"/> (3) foreign issues</p> <p><input checked="" type="checkbox"/> B. Warrants</p> <p><input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper)</p> <p><input checked="" type="checkbox"/> D. Commercial paper</p> <p><input checked="" type="checkbox"/> E. Certificates of deposit</p> <p><input checked="" type="checkbox"/> F. Municipal securities</p> <p>G. Investment company securities:</p> <p><input checked="" type="checkbox"/> (1) variable life insurance</p> <p><input checked="" type="checkbox"/> (2) variable annuities</p> <p><input checked="" type="checkbox"/> (3) mutual fund shares</p>	<p><input checked="" type="checkbox"/> H. United States government securities</p> <p>I. Options contracts on:</p> <p><input checked="" type="checkbox"/> (1) securities</p> <p><input checked="" type="checkbox"/> (2) commodities</p> <p>J. Futures contracts on:</p> <p><input checked="" type="checkbox"/> (1) tangibles</p> <p><input checked="" type="checkbox"/> (2) intangibles</p> <p>K. Interests in partnerships investing in:</p> <p><input checked="" type="checkbox"/> (1) real estate</p> <p><input checked="" type="checkbox"/> (2) oil and gas interests</p> <p><input checked="" type="checkbox"/> (3) other (explain on Schedule F)</p> <p><input checked="" type="checkbox"/> L. Other (explain on Schedule F)</p>
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**4. Methods of Analysis, Sources of Information, and Investment Strategies.**

A. Applicant's security analysis methods include: (check those that apply)

(1) <input type="checkbox"/> Charting	(4) <input type="checkbox"/> Cyclical
(2) <input checked="" type="checkbox"/> Fundamental	(5) <input checked="" type="checkbox"/> Other (explain on Schedule F)
(3) <input checked="" type="checkbox"/> Technical	

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B. The main sources of information applicant uses include: (check those that apply)

(1) <input checked="" type="checkbox"/> Financial newspapers and magazines	(5) <input type="checkbox"/> Timing services
(2) <input type="checkbox"/> Inspections of corporate activities	(6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission
(3) <input checked="" type="checkbox"/> Research materials prepared by others	(7) <input type="checkbox"/> Company press releases
(4) <input type="checkbox"/> Corporate rating services	(8) <input checked="" type="checkbox"/> Other (explain on Schedule F)

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C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

(1) <input checked="" type="checkbox"/> Long term purchases (securities held at least a year)	(5) <input type="checkbox"/> Margin transactions
(2) <input checked="" type="checkbox"/> Short term purchases (securities sold within a year)	(6) <input type="checkbox"/> Option writing, including covered options, uncovered options or spreading strategies
(3) <input type="checkbox"/> Trading (securities sold within 30 days)	(7) <input checked="" type="checkbox"/> Other (explain on Schedule F)
(4) <input type="checkbox"/> Short sales	

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>801-NA</b>	Date: <b>3/26/2010</b>
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**5. Education and Business Standards.**

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? .....  Yes  No

(If yes, describe these standards on Schedule F.)

**6. Education and Business Background.**

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- formal education after high school
- year of birth
- business background for the preceding five years

**7. Other Business Activities.** (check those that apply)

A. Applicant is actively engaged in a business other than giving investment advice.

B. Applicant sells products or services other than investment advice to clients.

C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

**8. Other Financial Industry Activities or Affiliations.** (check those that apply)

A. Applicant is registered (or has an application pending) as a securities broker-dealer.

B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.

C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:

<input type="checkbox"/> (1) broker-dealer	<input checked="" type="checkbox"/> (7) accounting firm
<input type="checkbox"/> (2) investment company	<input type="checkbox"/> (8) law firm
<input type="checkbox"/> (3) other investment adviser	<input checked="" type="checkbox"/> (9) insurance company or agency
<input type="checkbox"/> (4) financial planning firm	<input type="checkbox"/> (10) pension consultant
<input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant	<input type="checkbox"/> (11) real estate broker or dealer
<input type="checkbox"/> (6) banking or thrift institution	<input type="checkbox"/> (12) entity that creates or packages limited partnerships

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.....  Yes  No

(If yes, describe on Schedule F the partnerships and what they invest in.)

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>801- NA</b>	Date: <b>3/26/2010</b>
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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- A. As principal, buys securities for itself from or sells securities it owns to any client.
- B. As broker or agent effects securities transactions for compensation for any client.
- C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

10. Conditions for Managing Accounts. Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services and impose a minimum dollar value of assets or other conditions for starting or maintaining an account? . . . . .

Yes  No

(If yes, describe on Schedule F.)

11. Review of Accounts. If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

A. Describe below the reviews and reviewers of the accounts. For reviews, include their frequency, different levels, and triggering factors. For reviewers, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Reviews:

Financial planning reviews are performed on an annual basis if the client chooses to engage NHFS for an additional twelve month period. There are no different levels of review. However, at the request of the client, NHFS intends to provide, at no charge, financial planning updating services to update clients for a period of twelve months (from renewal/anniversary date.)

Investment advisory services reviews are performed on a quarterly basis. There are no different levels of review.

Reviewers:

The applicant's partners review and update the financial plans and investment portfolios.

B. Describe below the nature and frequency of regular reports to clients on their accounts.

The applicant may prepare regular client reports.

Investment Advisory clients will receive monthly account statements and transaction ledgers.

Investors participation in New Horizon Managed Account Program will receive monthly account statements (quarterly in Variable Annuity accounts) and transaction ledgers and quarterly reports showing the investment performance of their account.

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>801-NA</b>	Date: <b>3/26/2010</b>
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**12. Investment or Brokerage Discretion.**

- A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:
- |  |   |  |
|--|---|--|
| (1) securities to be bought or sold? .....               | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (2) amount of the securities to be bought or sold? ..... | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (3) broker or dealer to be used? .....                   | Yes <input type="checkbox"/>            | No <input checked="" type="checkbox"/> |
| (4) commission rates paid? .....                         | Yes <input type="checkbox"/>            | No <input checked="" type="checkbox"/> |

- B. Does applicant or a related person suggest brokers to clients? ..... Yes  No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

**13. Additional Compensation.**

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? ..... Yes  No
- B. directly or indirectly compensates any person for client referrals? ..... Yes  No

(For each yes, describe the arrangements on Schedule F.)

**14. Balance Sheet.** Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities; or
  - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- Has applicant provided a Schedule G balance sheet? ..... Yes  No

**Schedule F of  
Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>
Item of Form (identify)	Answer
1.D	<p>New Horizon Financial Strategies is dedicated to offering varying levels of initial and ongoing financial services, under separate agreements, on behalf of its clients, which may include:</p> <p><b>FULL SERVICE FINANCIAL PLANNING SERVICES AND FEES</b></p> <p>New Horizon Financial Strategies (NHFS) provides coordinated financial planning services to evaluate securities, taxes, estate planning, insurance, business planning, retirement planning, personal investments, financing options, cash flow, company benefits and other financial aspects of client's situation. A written financial plan is presented to the client to show their current situation, their goals and objectives and various alternatives to show the client how to reach their goals. The fee paid for the plan is based upon the client's current income and net worth as follows:</p> <p><b>SINGLE PERSON:</b></p> <p style="padding-left: 40px;">If Income and Investable Net Worth is:</p> <p style="padding-left: 80px;">Less than \$150,000 per year, the fee will be \$990.00</p> <p style="padding-left: 80px;">Between \$150,000 and \$350,000 per year, the fee will be \$1,490.00</p> <p style="padding-left: 80px;">Between \$350,000 and \$550,000 per year, the fee will be \$2,990.00</p> <p style="padding-left: 80px;">Between \$550,000 and \$5,000,000 per year, the fee will be \$3,450.00</p> <p style="padding-left: 80px;">Over \$5,000,000 per year, the fee will be \$5,490.00</p> <p><b>MARRIED PERSON:</b></p> <p style="padding-left: 40px;">If Income and Investable Net Worth is:</p> <p style="padding-left: 80px;">Less than \$250,000 per year, the fee will be \$1,490.00</p> <p style="padding-left: 80px;">Between \$250,000 and \$450,000 per year, the fee will be \$2,990.00</p> <p style="padding-left: 80px;">Between \$450,000 and \$750,000 per year, the fee will be \$3,450.00</p> <p style="padding-left: 80px;">Between \$750,000 and \$5,000,000 per year, the fee will be \$4,290.00</p> <p style="padding-left: 80px;">Over \$5,000,000 per year, the fee will be \$7,250.00</p> <p>Complex Estate Planning services involving the consideration of trust, charitable giving techniques, etc. will be provided to clients for an additional fee ranging from \$500 to \$5,000 depending on the complexity of the plan.</p> <p>The effective date for this fee schedule is March 13, 2007. The fee will be 50% payable upon signing of the financial services and advisory agreement (the advisory agreement) and the balance due upon deliver of the written financial plan (generally within three months from the date of the agreement). At this time, the contractual relationship will be met between NHFS and the client.</p>

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>
Item of Form (identify)	Answer
1.D.	<p>Continued:</p> <p>Although the advisory agreement will end with the acceptance of the written financial plan by the client, NHFS intends to provide, at no charge, financial planning updating services to full service financial planning clients for a period of up to twelve months after signing the advisory agreement. Subsequent to the completion of the twelve-month period, the client may choose to engage NHFS for an additional annual review and update of their plan. After the update is delivered to the client the new agreement will end. However, NHFS intends to provide, at no charge, financial planning updating services to update clients for a period of twelve months (from the renewal/anniversary date).</p> <p>The fee for this service will be ½ of the client’s original fee, payable on the anniversary date of the original advisory agreement. The new advisory agreement will be a separate advisory agreement and the signing of the initial advisory agreement does not obligate the client in any way to engage NHFS for any succeeding years. NHFS retains the right to decline any engagement for any twelve-month period(s).</p> <p>The client acknowledges that NHFS strongly recommends at a minimum, an annual review and updates to assure the planning process is current and any changes necessary to the ongoing planning are made in a timely fashion.</p> <p>The client may cancel the advisory agreement without penalty within five business days of the signing of the agreement. Should the client cancel the advisory agreement after the five-day period but prior to the delivery of the plan, a refund will be paid to the client, less time spent on the plan calculated at a rate of \$175.00 per hour.</p> <p>NHFS guarantees the clients satisfaction with the plan upon initial presentation of the plan. If NHFS is not able to rewrite the plan to the client’s satisfaction, the NHFS will refund the total of all fees paid. The client agrees to return the original plan to NHFS upon obtaining the refund.</p> <p>Limitations</p> <p>Financial plans issued by NHFS will be generic in nature. Plans will discuss types of investments and product solutions necessary to achieve a client’s specific goals. Investment and product recommendations are not specific to product or services sold by Ensemble Financial Services, Inc. (Ensemble), the broker-dealer of the affiliated persons of NHFS.</p> <p>Limited Financial Planning Services</p> <p>Alternately, NHFS will work with clients on an hourly basis for specific issues, where the client chooses not to engage NHFS for a coordinated financial plan. The client acknowledges that NHFS will not be held accountable for results of recommendations that fall outside the scope of the engagement since the engagement itself is not comprehensive in nature. The fee is \$175.00 per hour and will be applied toward a coordinated financial plan fee if the client wishes, within 90 days of the hourly engagement. Hourly fees are due and payable at the time the service is provided.</p>

**Schedule F of  
Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>
Item of Form (identify)	Answer
1.D.	<p>Continued:</p> <p>Bundling of Services</p> <p>For clients participating in the NHFS Managed Account Program and/or the First Affirmative Financial Network who are also renewing their financial planning agreement with NHFS, NHFS will apply a courtesy discount to the financial planning renewal fee equal to 33 basis points (.0033) of the total client assets under management calculated as of the last day of the nearest previous calendar quarter ended. Calendar quarters end on the last day of March, June, September and December.</p> <p><b>INVESTMENT ADVISORY SERVICES AND FEES</b></p> <p>NHFS offers investment advisory services entailing the management and monitoring of investment portfolios, (variable annuities, no-load and load mutual funds) on a non-discretionary basis for its clients. This is the NHFS Managed Account Program.</p> <p>NHFS assists the clients in selecting appropriate investment objectives and asset allocation plan based on their individual needs and financial circumstances. The portfolio is then monitored to help achieve the agreed upon objective.</p> <p>The annual fee schedule for these services is calculated and payable quarterly, in advance, when NHFS determines the account is available for trading. The fee is based on the value of the account on the last business day of each calendar quarter. Under certain circumstances, NHFS may negotiate fees.</p> <p>Quarterly reporting and confirmations of trades are generated by third parties engaged to handle these services. The annual fee schedule for these services is as follows:</p> <p style="text-align: center;">1.00% on the first .....\$500,000  .75% on the next.....\$500,000  .50% on the next.....\$1,000,000  .25% on the next.....\$2,000,000  .25% for amounts &gt; \$4,000,000</p> <p>There is a minimum fee of \$500 on all accounts set up under this program.</p> <p>NHFS offers socially responsible investment strategies through First Affirmative Financial Network, LLC (FAFN). See the FAFN ADV Disclosure Brochure for an explanation of fees.</p> <p>NHFS offers investment strategies through Clark Capital Management Company (Clark Capital). See the Clark Capital ADV Disclosure Brochure and Schedule H for details on the programs and fees. Other money managers may also be used. Please refer to their Disclosure Brochure for details.</p> <p>NHFS offers investment strategies through Principal Funds' Strategic Asset Management Portfolios (SAM). All SAM portfolios offered through NHFS to NHFS clients are at net asset value. Principal Funds calculates and pays NHFS a fee equal to 25 basis points (.0025) of the total client assets invested. See the current Principal Funds prospectus for more detailed information including charges and expenses. Please see applicable Schedule H or Form ADV Disclosure Document for additional details on the programs and pricing.</p>

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>														
Item of Form (identify) <b>1.D.</b>	Answer														
	<p>Continued:</p> <p>NHFS ASSET ALLOCATION &amp; REBALANCING PROGRAM FOR TIAA-CREF and FIDELITY CLIENTS ADVISORY FEES</p> <table border="0"> <thead> <tr> <th style="text-align: left;"><u>Advisory Fee Schedule</u></th> <th style="text-align: right;"><u>Annual Fee</u></th> </tr> </thead> <tbody> <tr> <td>NHFS Strategic Asset Allocation Model with Annual Rebalancing and with current, Executed Coordinated Financial Planning Agreement.</td> <td style="text-align: right;">\$295.00</td> </tr> <tr> <td>NHFS Strategic Asset Allocation Model without Annual Rebalancing and without current, executed Coordinated Financial Planning Agreement.</td> <td style="text-align: right;">\$495.00</td> </tr> <tr> <td>NHFS Strategic Asset Allocation Model with Annual Rebalancing and without current, executed Coordinated Financial Planning Agreement.</td> <td style="text-align: right;">\$595.00</td> </tr> <tr> <td>Continued:</td> <td></td> </tr> <tr> <td>NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and with current, executed Coordinated Financial Planning Agreement.</td> <td style="text-align: right;">\$695.00</td> </tr> <tr> <td>NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and without current, executed Coordinated Financial Planning Agreement.</td> <td style="text-align: right;">\$995.00</td> </tr> </tbody> </table> <p><i>*Quarters are three-month rolling period commencing at the execution of this Agreement. Quarterly rebalancing service will be executed within 31 days after each respective three-month period.</i></p> <p>SEMINAR SERVICES</p> <p>At times, NHFS may be requested to hold financial planning seminars for interested groups. NHFS may charge such groups a flat fixed fee that ranges between \$25 to \$100 per person, payable in advance. NHFS will, however, pay its expenses in holding such a seminar, unless otherwise negotiated with the group.</p> <p>GENERAL INFORMATION ON FEES</p> <p>Hourly fees of \$175.00 hour will be charged to pension and profit-sharing plans and individual retirement accounts that are regulated under the Employee Retirement Income and Security Act. Written reports will be provided and fees are due when work has been completed.</p> <p>Under certain circumstances, NHFS may negotiate fees.</p> <p>The fees charged will never be based on the capital gains or the capital appreciation of any funds of any client.</p>	<u>Advisory Fee Schedule</u>	<u>Annual Fee</u>	NHFS Strategic Asset Allocation Model with Annual Rebalancing and with current, Executed Coordinated Financial Planning Agreement.	\$295.00	NHFS Strategic Asset Allocation Model without Annual Rebalancing and without current, executed Coordinated Financial Planning Agreement.	\$495.00	NHFS Strategic Asset Allocation Model with Annual Rebalancing and without current, executed Coordinated Financial Planning Agreement.	\$595.00	Continued:		NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and with current, executed Coordinated Financial Planning Agreement.	\$695.00	NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and without current, executed Coordinated Financial Planning Agreement.	\$995.00
<u>Advisory Fee Schedule</u>	<u>Annual Fee</u>														
NHFS Strategic Asset Allocation Model with Annual Rebalancing and with current, Executed Coordinated Financial Planning Agreement.	\$295.00														
NHFS Strategic Asset Allocation Model without Annual Rebalancing and without current, executed Coordinated Financial Planning Agreement.	\$495.00														
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Continued:															
NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and with current, executed Coordinated Financial Planning Agreement.	\$695.00														
NHFS Tactical Asset Allocation Model with Quarterly Rebalancing* and without current, executed Coordinated Financial Planning Agreement.	\$995.00														

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>
Item of Form (identify)	Answer
1.D.	Continued: A client agreement may be cancelled, by either party, for any reason, upon receipt of written notice, within five days of signing initial agreement. Upon termination of any account, any prepaid, unearned fees will be promptly refunded.  After this five-day period, the client agreement may be cancelled, by either party, for any reason, within 30 days upon receipt of written notice.
3.K.3., 3.L.	TYPES OF INVESTMENTS  Advice may be provided on public and private partnerships investing in leasing, mortgages, agriculture, and various other types of businesses. Other investments owned by the client such as REITs, 529 plans, ETFs and tax credit partnerships may also be included in the financial planning advice provided by NHFS.
4.A. (5)	METHODS OF ANALYSIS, SOURCES OF INFORMATION, AND INVESTMENT STRATEGIES  In addition to fundamental and technical analysis, including information such as earnings, growth, sales growth, price earnings, ratios, book value, dividend records, etc., services are used and purchased (Standard and Poors, Industry Newsletters, Periodicals, Information Available On-Line, etc.) that detail other factors relevant to client holdings. Consideration is given to new tax law proposals, the economic outlook of a particular industry as well as general economic conditions.
4.C.(7)	Data from client questionnaires is another important source of information for NHFS, including cost basis, tax consequences, and other factors relative to the client. The advisor may employ computer software packages procured from third parties in an attempt to better assist the client to formulate and communicate his present position and goals.  NHFS adopts a long-term strategy to meet the clients overall financial goals.
4.A (5), 4.B. (8), 4.C. (7)	In advising retail clients of applicant investing in New Horizon Managed Account Program, applicant uses model portfolios of individual securities, mutual funds, and exchange traded funds, provided by a number of institutional investment strategists, and based on the information, research, asset allocation methodology, and investment strategists.
5.	EDUCATION AND BUSINESS STANDARDS  NHFS requires those involved in determining or giving investment advice to clients to hold at least a four year degree and to have at least 10 years experience advising clients on financial planning and investment issues. Also, NHFS will require ongoing participation in education programs made available to financial services professionals.

**Schedule F of  
Form ADV**

**Continuation Sheet for Form ADV Part II**

Applicant: <b>New Horizon Financial Strategies</b>	SEC File Number: <b>NA</b>	Date: <b>03/26/2010</b>
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>New Horizon Financial Strategies</b>	IRS Empl. Ident. No.: <b>16-1493137</b>
Item of Form (identify)	Answer
6.	<p><b>BIOGRAPHICAL INFORMATION</b></p> <p><u>Paul A. Strebel</u>; Born 1958; BBA in Accounting; has been a Certified Public Accountant since 1991; has been a CERTIFIED FINANCIAL PLANNER™ certificant since 1985; has been a business development consultant to business owners, including other financial professionals, since 1999; has been with New Horizon Financial Strategies since February of 1996.</p> <p><u>Employment/Business Affiliations:</u></p> <p>Strebel &amp; Strebel, CPAs, Ithaca, NY, CPA Firm, CPA/Partner, 1/86 to Present</p> <p><u>Leslie A. Strebel</u>; Born 1958; BA in Psychology, has been President of Financial Solutions, a financial services firm, since 1997; was District Manager for Massachusetts Mutual Life Insurance Company for six years until 1996; has been a business development consultant to business owners, including other financial professionals, since 2000. Broker affiliation is with Ensemble Financial Services, Inc. since October 2009. Leslie Strebel has been with New Horizon Financial Strategies since January of 2001.</p> <p><u>Employment/Business Affiliations:</u></p> <p>Ensemble Financial Services, Inc., Pittsford, NY, 10/09 to present</p> <p>FSC Securities, Atlanta, GA, RIA, Advisory Rep., 1/99 to 9/2009</p> <p>SAL, Inc., Ithaca, NY, Financial Services Firm, Registered Rep, Insurance Agent, 1/99 to Present</p> <p><u>Christopher J. Strebel</u>; Born 1960; BS in Accounting; has been a Certified Public Accountant since 1985; has been a Certified Fund Specialist since 1997. Christopher Strebel has been with New Horizon Financial Strategies since February of 1996.</p> <p><u>Employment/Business Affiliations:</u></p> <p>Strebel &amp; Strebel, CPAs, Ithaca, NY, CPA Firm, CPA/Partner, 1/86 to Present</p> <p>New Horizon Financial Strategies, Ithaca, NY, Financial Planning, General Partner, 4/96 to Present</p> <p><u>Angela O'Neill</u>; Born 1967; BBA in Accounting; was a Financial Advisor for Smith Barney for nine years until 2006. Broker affiliation is currently with Ensemble Financial Services, Inc. since October 2009. Angela O'Neill has been with New Horizon Financial Strategies since September of 2006.</p> <p><u>Employment/Business Affiliations:</u></p> <p>Ensemble Financial Services, Inc., Pittsford, NY, 10/09 to present</p>

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

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6.	Continued:  Smith Barney, Ithaca, NY, Financial Advisor, 10/97 to 9/06  FSC Securities Corporation, Atlanta, GA, Registered Representative, 9/06 to 9/09
7. C	OTHER BUSINESS ACTIVITIES  Paul A. Strebel and Christopher J. Strebel are partners of an accounting firm and are Certified Public Accountants. They may spend more than 50% of their time on this activity.  Paul A. Strebel and Leslie A. Strebel are partners of a business coaching and consulting firm and may spend more than 50% of their time on this activity.  Paul A. Strebel is a Professor of Fundamentals of Financial Planning and Accounting at Cornell University. Time spent on this activity is approximately 100 hours per semester.  NHFS may refer clients to Leslie A. Strebel, who is President of Financial Solutions, or Angela O'Neill, who are both registered representatives of a broker/dealer and licensed insurance agents for implementation of plan recommendations. All clients should know, however, that they are not obligated to use Leslie or Angela or companies represented by Leslie or Angela, and there are no fee sharing arrangements. For a further discussion on this relationship, please see item 12B of this Schedule F narrative.  All clients maintain the right to choose any registered representative, broker/dealer or insurance company they wish to implement plan recommendations.  NHFS does exercise limited investment discretion to exact trades as directed by the client, verbal or written.  NHFS does not charge fees to pension and profit sharing plans and tax sheltered annuities that are regulated under the Employee Retirement Income and Security Act (the Plan). NHFS has no discretionary authority or control, whatsoever, with respect to Plan matters.  Each client that is a Plan has a named fiduciary. (NHFS is not, and cannot serve as, a fiduciary.) The Plan Fiduciary is solely responsible for determining whether a particular security is an appropriate investment for the Plan in light of the Plan's overall investment objectives. The Plan fiduciary must make the investment decisions about purchase of any products based on his or her understanding of the Plan's needs and objectives, and NHFS is in no way responsible for any investment decisions. The Plan fiduciary is free to seek independent advice about the appropriateness of any investment for the Plan.
8. C (7)	OTHER FINANCIAL INDUSTRY ACTIVITIES OR AFFILIATIONS  Paul A. Strebel and Christopher J. Strebel are partners in Strebel & Strebel, CPAs an accounting firm. Some of the accounting clients may become financial planning/investment management clients and visa-versa.

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

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8. C (9)	<p>NHFS may refer clients to licensed insurance agents for various types of insurance. Leslie A. Strebel and Angela O'Neill are licensed insurance agents and could earn commission on the sale of such insurance. Paul A. Strebel and Christopher J. Strebel are not licensed insurance agents and therefore will not receive any commission from the sale of such insurance. Paul A. Strebel may also indirectly derive compensation if clients choose to implement insurance recommendations through Leslie A. Strebel. SAL, Inc. d/b/a Financial Solutions is registered as an insurance agent in the state of New York and various other states as needed. Thus it may also earn reasonable and customary commissions on the sale of insurance products.</p>
9. B. D. and E.	<p><b>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS</b></p> <p>See 8.C.(7) and 8.C.(9).</p> <p>Paul A. Strebel may indirectly derive compensation if clients choose to implement investment recommendations through Leslie A. Strebel, a registered representative and licensed insurance agent. Leslie is also President of Financial Solutions, an affiliated firm.</p> <p>Clients are not obligated to implement any recommendations made during the financial planning process. If you choose to implement any recommendations, you may choose to implement them through the affiliations outlined in this Schedule F or with any appropriately licensed individual or firm outside of NHFS. Commissions may be earned on products sold through Leslie A. Strebel or Angela O'Neill and the products they may sell to you may be limited in scope as they are only allowed to sell products approved by their Broker/Dealer, Ensemble Financial Services, Inc.</p> <p>On occasion, Paul A. Strebel, Leslie A. Strebel, Angela O'Neill, and Christopher J. Strebel may buy or sell securities that they recommend to clients. There is no conflict of interest as the securities are widely held and publicly traded.</p> <p>The Registrant and persons associated with the Registrant ("Associated Persons") are permitted to buy and/or sell securities that the Registrant recommends to clients consistent with the following policies and procedures:</p> <p>Unless specifically defined in the Registrant's procedures (summarized below), neither the Registrant nor any of the Registrant's Associated Persons may effect for himself or herself, for an Associated Person's immediate family (i.e., spouse, minor children, and adults living in the same household as the Associated Person), or for trusts for which the Associated Person serves as a trustee or in which the Associated Person has a beneficial interest (collectively "Covered Persons"), any transactions in a security which is being actively purchased or sold, or is being considered for purchase or sale, on behalf of any of the Registrant's clients.</p> <p>When the Registrant is purchasing or considering for purchase any security on behalf of a client, no Covered Person may effect a transaction in that security prior to the completion of the purchase or until a decision has been made not to purchase such security. Similarly, when the Registrant is selling or considering the sale of any security on behalf of a client, no Covered Person may effect a transaction in that security prior to the completion of the sale or until a decision has been made not to sell such security.</p>

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

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Item of Form (identify)	Answer
9. E.	<p>The foregoing policies and procedures are not applicable to (a) transactions effected in any account over which neither the Registrant nor any advisory representative of the Registrant has any direct or indirect influence or control; and (b) transactions in securities that are: direct obligations of the government of the United States; bankers' acceptances, bank certificates of deposit, commercial paper, and high quality short-term debt instruments, including repurchase agreements; or shares issued by registered open-end investment companies.</p> <p>This policy has been established recognizing that some securities being considered for purchase and sale on behalf of the Registrant's clients trade in sufficiently broad markets to permit transactions by clients to be completed without any appreciable impact on the markets of such securities. Under certain limited circumstances, exceptions may be made to the policies stated above. The Registrant will maintain records of these trades, including the reasons for any exceptions.</p> <p>In accordance with Section 204A of the Advisers Act, the Registrant also maintains and enforces written policies reasonably designed to prevent the unlawful use of material non-public information by the Registrant or any of its Associated Persons.</p> <p>As a CFP® certificant's personal financial planning client, you have the right to receive annually my current Form ADV Part II or the current revision of the disclosure you received when our relationship began, as well as our Code of Ethics which follows. Our Form ADV Part II and Code of Ethics are also available upon request to prospective clients.</p> <p><b><u>Code of Ethics Introduction</u></b> This Code of Ethics is an expression of the financial planning profession's recognition of its responsibilities to the public, to clients, to colleagues, and to employers. These principles apply to all Financial Planning Association (FPA) members and provide guidance to them in the performance of their professional services.</p> <p><b><u>Principle 1 - Integrity</u></b> <b>An FPA member shall offer and provide professional services with integrity.</b></p> <p>FPA members may be placed by clients in positions of trust and confidence. The ultimate source of such public trust is the FPA member's personal integrity. In deciding what is right and just, an FPA member should rely on his or her integrity as the appropriate touchstone. Integrity demands honesty and candor, which must not be subordinated to personal gain and advantage. Within the characteristic of integrity, allowance can be made for innocent error and legitimate difference of opinion; but integrity cannot co-exist with deceit or subordination of one's principles. Integrity requires an FPA member to observe not only the letter but also the spirit of this Code.</p> <p><b><u>Principle 2 - Objectivity</u></b> <b>An FPA member shall be objective in providing professional services to clients.</b></p> <p>Objectivity requires intellectual honesty and impartiality. It is an essential quality for any professional. Regardless of the particular service rendered or the capacity in which an FPA member functions, an FPA member should protect the integrity of his or her work, maintain objectivity, and avoid subordination of his or her judgment that would be in violation of this Code.</p>

**Schedule F of  
Form ADV**

**Continuation Sheet for Form ADV Part II**

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9	<p>Continued:</p> <p><b><u>Principle 3 - Competence</u></b>  <b>An FPA member shall provide services to clients competently and maintain the necessary knowledge and skill to continue to do so in those areas in which the designee is engaged.</b></p> <p>One is competent only when he or she has attained and maintained an adequate level of knowledge and skill, and applies that knowledge effectively in providing services to clients. Competence also includes the wisdom to recognize the limitations of that knowledge and when consultation or client referral is appropriate. In addition to assimilating the common body of knowledge required and acquiring the necessary experience, an FPA member shall make a continuing commitment to learning and professional improvement.</p> <p><b><u>Principle 4 - Fairness</u></b>  <b>An FPA member shall perform professional services in a manner that is fair and reasonable to clients, principals, partners, and employers and shall disclose conflict(s) of interest(s) in providing such services.</b></p> <p>Fairness requires impartiality, intellectual honesty, and disclosure of conflict(s) of interest(s). It involves a subordination of one's own feelings, prejudices, and desires so as to achieve a proper balance of conflicting interests. Fairness is treating others in the same fashion that you would want to be treated and is an essential trait of any professional.</p> <p><b><u>Principle 5 - Confidentiality</u></b>  <b>An FPA member shall not disclose any confidential client information without the specific consent of the client unless in response to proper legal process, to defend against charges of wrongdoing by the FPA member or in connection with a civil dispute between the FPA member and client.</b></p> <p>A client, by seeking the services of an FPA member, may be interested in creating a relationship of personal trust and confidence with the FPA member. This type of relationship can only be built upon the understanding that information supplied to the FPA member or other information will be confidential. In order to provide the contemplated services effectively and to protect the client's privacy, the FPA member shall safeguard the confidentiality of such information.</p> <p><b><u>Principle 6 - Professionalism</u></b>  <b>An FPA member's conduct in all matters shall reflect credit upon the profession.</b></p> <p>Because of the importance of the professional services rendered by FPA members, there are attendant responsibilities to behave with dignity and courtesy to all those who use those services, fellow professionals, and those in related professions. An FPA member also has an obligation to cooperate with fellow FPA members to enhance and maintain the profession's public image and to work jointly with other FPA members to improve the quality of services. It is only through the combined efforts of all FPA members in cooperation with other professionals, that this vision can be realized.</p> <p><b><u>Principle 7 - Diligence</u></b>  <b>An FPA member shall act diligently in providing professional services.</b></p>

**Schedule F of  
Form ADV  
Continuation Sheet for Form ADV Part II**

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9	Continued:  Diligence is the provision of services in a reasonably prompt and thorough manner. Diligence also includes proper planning for and supervision of the rendering of professional services.
10	CONDITIONS FOR MANAGING ACCOUNTS  NHFS requires a minimum fee for Full Service Financial Planning clients. Please refer to item 1.D of this narrative.  The minimum investment required in New Horizon Managed Account Program is generally \$50,000 for Mutual Fund accounts and \$250,000 for Privately Managed Accounts. Accounts below these minimums may be accepted on an individual basis at the discretion of the Applicant.
12. B.	INVESTMENT OR BROKERAGE DISCRETION  Brokers suggested are Leslie Strebel and Angela O'Neill. Ensemble is chosen as Broker Dealer as they have a wide array of products, excellent continuing education and supervision, along with a competitive payout. Ensemble custodies client securities' accounts at National Financial Services, member FINRA. NHFS may custody client accounts in the New Horizons Financial Strategies' investment program at TD Ameritrade, member FINRA/SIPC. Client is free to choose whichever custodian they wish, but accounts held outside of NHFS' current relationships may not be able to be managed by NHFS. Other money managers as chosen by the client and NHFS may use the services of different custodians.  Products include life and health insurance, mutual funds, individual securities, limited partnerships, and group benefits.  Clients pay the same, or lower, commissions obtainable from other brokers.  Research services are not offered.  No product or services are provided in exchange for client referrals.
13. A.	ADDITIONAL COMPENSATION  Leslie A. Strebel benefits directly from commissions earned through plan implementation.  Paul A. Strebel benefits indirectly from commissions earned by his wife, Leslie A. Strebel.  Angela O'Neill benefits directly from commissions earned through plan implementation.  NHFS can earn incentive awards for placing business with third party providers that may include, but are not limited to, training, seminars, marketing expense reimbursements and due diligence trips.  NHFS may recommend Leslie A. Strebel or Angela O'Neill to planning clients that wish to have

**Schedule F of Form ADV**

**Continuation Sheet for Form ADV Part II**

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13. A.	<p>their plan implemented. If the client elects to utilize Leslie, NHFS planning clients should be aware that Paul A. Strebel would benefit indirectly from such implementation in the form of commissions.</p> <p>Additionally, 12. b-1. fees can be earned directly by Leslie A. Strebel and Angela O'Neill, and they may receive incentive awards earned partially through the implementation of the plan recommendations (should the client choose her for implementation).</p> <p>Additionally, 12. b-1. fees can be earned, indirectly by Paul A. Strebel through his wife, Leslie A. Strebel. Paul A. Strebel is a party to incentive awards that Leslie may earn, partially through implementation of the plan recommendations (should NHFS clients choose her for implementation).</p> <p>As a client or prospective client, you have the right to ask, as a CFP certificant, at any time for information about my compensation related to the services I provide you. I will communicate the requested information in reasonable detail as it related to our financial planning engagement, including compensation derived from implementation. The disclosure of compensation:</p> <ol style="list-style-type: none"> <li>1. May be expressed as an approximate dollar amount or percentage or as a range of dollar amount or percentages;</li> <li>2. Shall be made at a time and to the extent that the requested information can be reasonably ascertained;</li> <li>3. Will be based on reasonable assumptions, with estimates clearly identified, and;</li> <li>4. Will be updated in a timely manner if actual compensation significantly differs from any estimates.</li> </ol>
Proxies	NHFS does not retain any right to vote proxies on behalf of its clients. All proxy material shall be forwarded to the client to be voted by them on their behalf.

**Schedule F of  
Form ADV**

**Continuation Sheet for Form ADV Part II**

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